

Docket No. 217494US8/smc

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Sadayoshi KANEMARU, et al.

SERIAL NO: 10/015,627

GAU:

FILED: December 17, 2001

EXAMINER:

FOR: SEMICONDUCTOR LASER ELEMENT, SEMICONDUCTOR LASER MODULE, FABRICATION METHOD THEREOF
AND AMPLIFIER FOR OPTICAL FIBER

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Joseph A. Scafetta Jr.

Bradley D. Lytle
Registration No. 40,073

Joseph A. Scafetta, Jr.
Registration No. 26,803



22850

Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 10/98)



DOCKET NO: 217494US8

Sheet 1 of 1

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Group Art Unit:

STATEMENT OF RELEVANCY

Reference AA (US 5,589,684)on Form 1449:

This reference is discussed in the specification.

APR 03 2002

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

SHEET 1 OF 1

Form PTO 1449
(Modified)ATTY DOCKET NO.
217494US8SERIAL NO.
10/015,627

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Sadayoshi KANEMARU, et al.

FILING DATE
December 17, 2001

GROUP

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,589,684	12/31/96	Brian F. VENTRUDO, et al.			
	AB	6,331,913	12/18/2001	Yonglin HUANG, et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO	
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.